# IN THE UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF ILLINOIS EASTERN DIVISION

ALBERTO-CULVER USA, INC.,	)	
Plaintiff,	)	
V.	)	Case No. 1:09-cv-05899
THE PROCTER & GAMBLE COMPANY and NOXELL CORPORATION,	)	Judge Suzanne B. Conlon
Defendants.	)	

#### NOTICE OF FILING PROOF OF SERVICE

PLEASE TAKE NOTICE that we have this day filed with the District Court

Northern District of Illinois via the ECF Filing System the attached Proof of Service of

Subpoena served on Covington & Burling, LLP, 620 Eight Avenue, New York, NY 10018-1405.

Dated: December 21, 2009

/s/ Brian D. Sieve, P.C.
Brian D. Sieve, P.C.
Terrence J. Dee, P.C.
Kirkland & Ellis LLP
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Chicago, Illinois 60654
Telephone: (312) 862-2000
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Counsel for Plaintiff
Alberto-Culver USA, Inc.

#### **CERTIFICATE OF SERVICE**

I, Brian D. Sieve, P.C., hereby certify that on this 21st day of December, 2009, a true and correct copy of the foregoing **NOTICE OF FILING PROOF OF SERVICE OF SUBPOENA SERVED ON COVINGTON & BURLING LLP**, was served on the following counsel of record via ECF and US Mail:

/s/ Brian D. Sieve, P.C.

Counsel for Plaintiff
Alberto-Culver USA, Inc.

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Michael Peter Conway Colin Murphy Seals **Grippo & Elden LLC** 111 South Wacker Drive Chicago, IL 60606 docket@grippoelden.com

# Issued by the UNITED STATES DISTRICT COURT

NORTHERN	DISTRICT OF	aci coor	I ILLINOIS
ALBERTO-CULVER USA, INC., V. THE PROCTER & GAMBLE COMPANY, et al.		SUBPOENA IN A	
TO: Covington & Burling LLP 620 Eighth Avenue New York, NY 10018-1405 (212) 841-1000			
☐ YOU ARE COMMANDED to appear in the Untestify in the above case.	nited States District	court at the place, o	late, and time specified below to
PLACE OF TESTIMONY		<del> : : : : : : : : : : : : : : : : : :</del>	COURTROOM
			DATE AND TIME
☐ YOU ARE COMMANDED to appear at the pla in the above case.	ace, date, and time sp	ecified below to te	L stify at the taking of a deposition
PLACE OF DEPOSITION	· · · · · · · · · · · · · · · · · · ·		DATE AND TIME
YOU ARE COMMANDED to produce and perplace, date, and time specified below (list docu SEE ATTACHED EX. A		opying of the follow	wing documents or objects at the
PLACE Kirkland & Ellis LLP, 300 N. LaSalle Street, C	Chicago, IL 60654		DATE AND TIME 1/31/2010 5:00 pm
☐ YOU ARE COMMANDED to permit inspection	on of the following p	premises at the date	e and time specified below.
PREMISES			DATE AND TIME
Any organization not a party to this suit that is subpodirectors, or managing agents, or other persons who commatters on which the person will testify. Federal Rules of	sent to testify on its be	half, and may set for	designate one or more officers, rth, for each person designated, the
ISSUING OFFICER'S SIGNATURE AND TITLE (INDICATE IF A  SUING OFFICER'S NAME, ADDRESS AND HONE NUMBER	ney to Plain	FF OR DEFENDANT)	DATE 12/18/2009

Matthew Topic, Kirkland & Ellis LLP, 300 N. La Salle, Chicago, FL 60654 312.862.2000 (See Rule 45, Federal Rules of Civil Procedure, Subdivisions (c), (d), and (e), on next page)

<sup>&</sup>lt;sup>1</sup> If action is pending in district other than district of issuance, state district under case number.

	PROC	OF OF SERVICE			
	DATE	PLACE			
SERVED /2/18	/2009	Coving	ton + a	Burling	LLP
SERVED ON (PRINT NAME)		MANNER OF S	ERVICE	3	
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MAXXLEW T	Opic	AT.	TORNEY		
	DECLAR	ATION OF SERVER		,	

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Proof of Service is true and correct.

Executed on 12/21/2009

SIGNATURE OF SERVER

300 N. La Salle Street

ADDRESS OF SERVER

Chicky, of 60654

Rule 45, Federal Rules of Civil Procedure, Subdivisions (c), (d), and (e), as amended on December 1, 2006:

(c) PROTECTION OF PERSONS SUBJECT TO SUBPOENAS.

(1) A party or an attorney responsible for the issuance and service of a subpoena shall take reasonable steps to avoid imposing undue burden or expense on a person subject to that subpoena. The court on behalf of which the subpoena was issued shall enforce this duty and impose upon the party or attorney in breach of this duty an appropriate sanction, which may include, but is not limited to, lost earnings and a reasonable attorney's fee.

(2) (A) A person commanded to produce and permit inspection, copying, testing, or sampling of designated electronically stored information, books, papers, documents or tangible things, or inspection of premises need not appear in person at the place of production or inspection unless commanded to appear for deposition, hearing or trial.

(B) Subject to paragraph (d)(2) of this rule, a person commanded to produce and permit inspection, copying, testing, or sampling may, within 14 days after service of the subpoena obefore the time specified for compliance if such time is less than 14 days after service, serve upon the party or attorney designated in the subpoena written objection to producing any or all of the designated materials or inspection of the premises — or to producing electronically stored information in the form or forms requested. If objection is made, the party serving the subpoena shall not be entitled to inspect, copy, test, or sample the materials or inspect the premises except pursuant to an order of the court by which the subpoena was issued. If objection has been made, the party serving the subpoena may, upon notice to the person commanded to produce, move at any time for an order to compel the production, inspection, copying, testing, or sampling. Such an order to compel shall protect any person who is not a party or an officer of a party from significant expense resulting from the inspection, copying, testing, or sampling commanded.

(3) (A) On timely motion, the court by which a subpoena was issued shall quash or modify the subpoena if it

(i) fails to allow reasonable time for compliance;

(ii) requires a person who is not a party or an officer of a party to travel to a place more than 100 miles from the place where that person resides, is employed or regularly transacts business in person, except that, subject to the provisions of clause (c)(3)(B)(iii) of this rule, such a person may in order to attend trial be commanded to travel from any such place within the state in which the trial is held;

(iii) requires disclosure of privileged or other protected matter and no exception or waiver applies; or

(iv) subjects a person to undue burden.

(B) If a subpoena

(i) requires disclosure of a trade secret or other confidential research, development, or commercial information, or

(ii) requires disclosure of an unretained expert's opinion or information not describing specific events or occurrences in dispute and resulting from the expert's study made not at the request of any party, or

(iii) requires a person who is not a party or an officer of a party to incur substantial expense to travel more than 100 miles to attend trial, the court may, to protect a person subject

to or affected by the subpoena, quash or modify the subpoena or, if the party in whose behalf the subpoena is issued shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship and assures that the person to whom the subpoena is addressed will be reasonably compensated, the court may order appearance or production only upon specified conditions.

(d) DUTIES IN RESPONDING TO SUBPOENA.

(1) (A) A person responding to a subpoena to produce documents shall produce them as they are kept in the usual course of business or shall organize and label them to correspond with the categories in the demand.

(B) If a subpoena does not specify the form or forms for producing electronically stored information, a person responding to a subpoena must produce the information in a form or forms in which the person ordinarily maintains it or in a form or forms that are reasonably usable

(C) A person responding to a subpoena need not produce the same electronically stored information in more than one form.

(D) A person responding to a subpoena need not provide discovery of electronically stored information from sources that the person identifies as not reasonably accessible because of undue burden or cost. On motion to compel discovery or to quash, the person from whom discovery is sought must show that the information sought is not reasonably accessible because of undue burden or cost. If that showing is made, the court may nonetheless order discovery from such sources if the requesting party shows good cause, considering the limitations of Rule 26(b)(2)(C). The court may specify conditions for the discovery.

(2) (A) When information subject to a subpoena is withheld on a claim that it is privileged or subject to protection as trial-preparation materials, the claim shall be made expressly and shall be supported by a description of the nature of the documents, communications, or things not produced that is sufficient to enable the demanding party to contest the claim.

(B) If information is produced in response to a subpoena that is subject to a claim of privilege or of protection as trial-preparation material, the person making the claim may notify any party that received the information of the claim and the basis for it. After being notified, a party must promptly return, sequester, or destroy the specified information and any copies it has and may not use or disclose the information until the claim is resolved. A receiving party may promptly present the information to the court under seal for a determination of the claim. If the receiving party disclosed the information before being notified, it must take reasonable steps to retrieve it. The person who produced the information must preserve the information until the claim is resolved.

(e) CONTEMPT. Failure of any person without adequate excuse to obey a subpoena served upon that person may be deemed a contempt of the court from which the subpoena issued. An adequate cause for failure to obey exists when a subpoena purports to require a nonparty to attend or produce at a place not within the limits provided by clause (ii) of subparagraph (c)(3)(A).

# **EXHIBIT A**

# IN THE UNITED STATES DISTRICT COURT FOR THE NORTHERN DISTRICT OF ILLINOIS EASTERN DIVISION

ALBERTO-CULVER USA, INC.,	)
Plaintiff,	) )
V.	) Case No. 1:09-cv-05899
THE PROCTER & GAMBLE COMPANY and NOXELL CORPORATION,	) Judge Suzanne B. Conlon
Defendants.	) )

# EXHIBIT A TO PLAINTIFF ALBERTO-CULVER USA INC.'S SUBPOENA TO COVINGTON & BURLING LLP

Pursuant to Federal Rules of Civil Procedure 45, Plaintiff Alberto-Culver USA Inc. ("Alberto-Culver") requests that third party Covington & Burling LLP ("Covington") produce the following documents to Kirkland & Ellis LLP, 300 N. LaSalle, Chicago, Illinois, 60654.

#### **INSTRUCTIONS**

- 1. Each Request for Production refers to information known to, or in the custody, control, and/or possession of Covington and its attorneys and staff, as well as representatives, agents, consultants, and other third parties retained by or acting on behalf of Covington.
- 2. These Requests for Production shall be deemed continuing, to the full extent required or permitted under the Federal Rules of Civil Procedure, so as to require supplementary responses as soon as practical after you receive information that renders any of your answers to these Requests for Production incomplete or inaccurate.
- 3. With respect to any documents or information withheld on a claim of attorney-client privilege, attorney work-produce privilege, or any other applicable claim of privilege or

- immunity, provide a statement setting forth the information as required under Federal Rules of Civil Procedure.
- 4. Without limiting the scope of this request, Alberto-Culver states by way of background to assist in Covington's response to this request that the above-captioned suit relates to the sale of the Noxzema brand from The Proctor & Gamble Company and Noxell Company (collectively "P&G") to Alberto-Culver on October 1, 2008, and that P&G has identified Peter A. Schwartz of Covington in its Federal Rule of Civil Procedure 26(a)(1) initial disclosures.

#### **DEFINITIONS**

- 1. The terms "you," "your," and "Covington" shall mean the law firm of Covington & Burling LLP, its attorneys and staff, agents, consultants, representatives, and any other person(s) acting or purporting to act on Covington's behalf.
- 2. The term "document" has the full meaning ascribed to it in Federal Rule of Civil Procedure 34 and shall include, but is not limited to, any original, reproduction, or copy and non-identical copy (*i.e.*, copy with marginal notes, deletions, etc.) of any kind of written, printed, typed, electronically created or stored, or other graphic matter of any type, documentary material, or drafts thereof. Such "document(s)" shall include, but are not limited to, any correspondence, memoranda, interoffice or intra-office communications, notes, diaries, journals, calendars, contract documents, publications, calculations, estimates, vouchers, minutes of meetings, invoices, reports, studies, computer tapes, computer disks, computer cards, computer files, data storage devices, emails, photographs, negatives, slides, dictation belts, voice tapes, telegrams, notes of telephone conversations, and notes of any oral communications.

- 3. The term "Noxzema" shall mean the Noxzema brand of skin-care products as sold to Alberto-Culver and all individual products that make up that brand.
- 4. The term "Original Noxzema" shall mean Noxzema Original Deep Cleansing Cream.
- 5. The term "ASP Agreement" shall mean the Asset Sale and Purchase Agreement entered into between Plaintiff and Defendants on September 5, 2008.
- 6. The term "TS Agreement" shall mean the Transitional Supply Agreement entered into between Plaintiff and Defendants on October 1, 2008.
- 7. The term "Closing" shall mean the consummation of Defendants' sale of Noxzema to Alberto-Culver on October 1, 2008.
- 8. The connectives "and" and "or" shall be construed either disjunctively or conjunctively as necessary to bring within the scope of a request all information that otherwise might be construed to be outside of its scope.
- 9. The singular form of a noun or pronoun includes the plural form, and the plural form includes the singular.

# **REQUESTS FOR PRODUCTION**

#### **REQUEST NO. 1:**

All drafts of the ASP Agreement.

#### **REQUEST NO. 2:**

All documents discussing or referring to the negotiation of the ASP Agreement.

#### **REQUEST NO. 3:**

All drafts of the TS Agreement.

#### **REQUEST NO. 4:**

All documents discussing or referring to the negotiation of the TS Agreement.

### **REQUEST NO. 5:**

All documents related to the sale of Noxzema to Alberto-Culver.

# **REQUEST NO. 6:**

All documents that pertain in any way to P&G's efforts to sell the Noxzema brand.

# **REQUEST NO. 7:**

All documents related to the manufacture, marketing, labeling, or sale of Noxzema products, including but not limited to any product defects and/or use of phenol.

# **REQUEST NO. 8:**

All documents related to P&G's Cayey, Puerto Rico facilities.

#### REQUEST NO. 9:

All documents related to the U.S. Food and Drug Administration's Warning Letter (SJN-2009-07) issued to P&G on April 24, 2009, P&G's internal investigations in response to the FDA's letter, and P&G's response to the FDA and/or the public regarding the FDA's letter.

### **REQUEST NO. 10:**

All documents related to Noxzema, including but limited to the sale of Noxzema from P&G to Alberto-Culver, within the files of Peter A. Schwartz.